



Patent  
Attorney's Docket No. 000270-012

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	<b>ATTENTION:</b>
Steven L. STICE et al.	)	<b>APPLICATIONS BRANCH</b>
Application No.: 08/888,057	)	
Filed: July 3, 1997	)	Group Art Unit: 1804
For: CLONING PIGS USING DONOR NUCLEI	)	Examiner: Unassigned
FROM DIFFERENTIATED CELLS	)	

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show the correction that is needed. The correction is as follows.

**Kindly add --Small Entity Status--.**

A Verified Statement (Declaration) Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(d)) -- Nonprofit Organization and Request for Refund was filed in the U.S. Patent and Trademark Office on February 3, 1998. A copy of the Verified Statement and the PTO-stamped postcard are attached herewith.

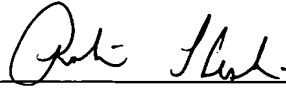
Issuance of a corrected Official Filing Receipt is respectfully requested.

[x] This Request for Corrected Official Filing Receipt is being filed to correct a Patent Office error. No fee is required.

[ ] The \$25.00 fee required under 37 C.F.R. § 1.19(h) to correct an Official Filing Receipt due to applicant error: [ ] is enclosed; [ ] is authorized to be charged to Deposit Account No. 02-4800 and this paper is submitted in triplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:   
Robin L. Teskin  
Registration No. 35,030

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: April 8, 1998

Inventor: Steven L. STICE et al.

Appln. No. 08/888,057

Filing Date: July 3, 1997

Docket No.: 000270-012

Work Atty: RCE

Date: February 3, 1998

Pat. Clerk Initials

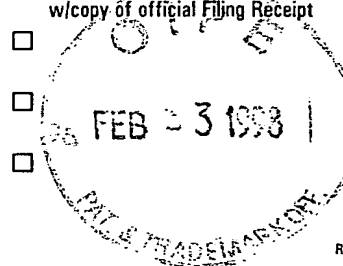
CS

The following was/were received in the U.S. Patent and Trademark Office on the date stamped hereon: --

- ☐ Amendment or Response
- ☐ Preliminary Amendment
- ☐ Reply Transmittal Letter
- ☐ Petition for \_\_\_\_\_ Month Extension of Time
- ☐ Submission of Formal Drawings  
w/\_\_\_\_ sheet(s) of drawings (Fig(s). 1- \_\_\_\_ )
- ☐ Request for Approval of Drawing Changes  
w/\_\_\_\_ sheet(s) of red ink drawings
- ☐ Notice of Appeal
- ☐ Brief for Appellant
- ☐ Request for Oral Hearing
- ☐ Reply Brief
- ☐ Response to Restriction Requirement or Election  
of Species
- ☐ Terminal Disclaimer
- ☐ Certificate Under 37 C.F.R. § 3.73(b)

- ☒ Transmittal Letter for Missing Parts  
of Application
- ☒ Executed Declaration/Power of Attorney
- ☒ Small Entity Declaration
- ☒ Assignment/Assignment Recordation Form  
Cover Sheet (PTO-1595)
- ☐ Claim for Convention Priority  
w/\_\_\_\_ certified copy(s)
- ☐ Information Disclosure Statement  
w/\_\_\_\_ document(s)
- ☐ Information Disclosure Citation (PTO-1449)
- ☐ Information Disclosure Statement  
Transmittal Letter
- ☐ Request for Corrected Notice of Recordation  
of Assignment w/copy of Notice

- ☒ Check for \$ 105.00 is enclosed
- ☐ Check for \$ \_\_\_\_\_ is enclosed
- ☐ Charge \$ \_\_\_\_\_ to Deposit Account
- ☐ Issue Fee Transmittal
- ☐ Payment of Issue Fee and Authorization  
to charge Deposit Account
- ☒ Request for Refund
- ☐ Status Inquiry
- ☐ Request for Corrected Filing Receipt  
w/copy of official Filing Receipt
- ☐
- ☐
- ☐
- ☐
- ☐



RESPONSE.PC 12/97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
 )  
Steven L. STICE et al. ) Group Art Unit: Unassigned  
 )  
Application No.: 08/888,057 ) Examiner: Unassigned  
 )  
Filed: July 3, 1997 )  
 )  
For: CLONING PIGS USING DONOR NUCLEI )  
FROM DIFFERENTIATED CELLS )

TRANSMITTAL OF VERIFIED STATEMENT CLAIMING  
SMALL ENTITY STATUS - NONPROFIT ORGANIZATION  
AND  
REQUEST FOR REFUND

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a Verified Statement (Declaration) Claiming Small Entity Status,  
duly executed by the Assignee of the above-identified patent application.

It is respectfully requested that one-half of the original filing fee filed on July 3, 1997, in  
the amount of \$531.50, be refunded to Applicant and deposited in Deposit Account No. 02-4800.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Richard C. Ekstrom  
Richard C. Ekstrom  
Registration No. 37,027

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(703) 836-6620

Date: February 3, 1998

DOCKETED  
Filed 2/3/98

Applicant or Patentee: Steven L. STICE, James M. ROBL, Jose CIBELLI and Paul GOLUEKE,  
Application or Patent No.: 08/888,057  
Filed or Issued: July 3, 1997  
For: CLONING PIGS USING DONOR NUCLEI FROM DIFFERENTIATED CELLS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 C.F.R. §§ 1.9(f) AND 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION University of Massachusetts, as represented by its Amherst Campus  
ADDRESS OF ORGANIZATION Office of Vice Chancellor for Research at Amherst  
Amherst, MA 01002

**TYPE OF ORGANIZATION**

- ☒ University or other institution of higher education  
☐ Tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3))  
☐ Nonprofit scientific or educational under statute of state of The United States of America  
(Name of state \_\_\_\_\_)  
(Citation of statute \_\_\_\_\_)  
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3)) if located in The United States of America  
☐ Would qualify as nonprofit scientific or educational under statute of The United States of America if located in The United States of America  
(Name of state \_\_\_\_\_)  
(Citation of statute \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees under Sections 41(a) and 41(b) of Title 35, United States Code, with regard to the invention entitled CLONING PIGS USING DONOR NUCLEI FROM DIFFERENTIATED CELLS by inventor(s) Steven L. STICE, James ROBL, Jose CIBELLI, and Paul GOLUEKE described in

- ☐ the specification filed herewith  
☒ Application No. 08/888,057, filed July 3, 1997  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the above-identified nonprofit organization are not exclusive, each individual, concern, or organization having rights to the invention is listed below,\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an individual inventor under 37 C.F.R. § 1.9(c), or by any concern that would not qualify as either a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27.)

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ individual      ☐ small business concern      ☐ nonprofit organization

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ individual      ☐ small business concern      ☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee and any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b).)

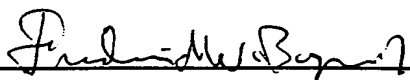
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Dr. Frederick Byron

TITLE IN ORGANIZATION Vice Chancellor for Research

ADDRESS OF PERSON SIGNING Office of Vice Chancellor for Research at Amherst

Amherst, MA 01002

SIGNATURE  DATE 10/16/92